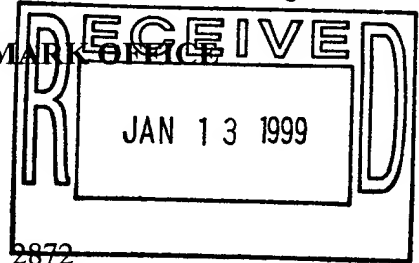




Sect 02B

PATENT APPLICATION
Q51098



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shingo NISHIKAWA, et al.

Appln. No.: 09/116,589

Group Art Unit: 2872

Filed: July 16, 1998

Examiner: Not yet assigned

For: REFLECTION TYPE DIFFUSE HOLOGRAM, HOLOGRAM FOR REFLECTION
HOLOGRAM COLOR FILTERS, ETC., AND REFLECTION TYPE DISPLAY DEVICE
USING SUCH HOLOGRAMS

SUBMISSION OF EXECUTED DECLARATION

• **ATTN: BOX MISSING PARTS**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application-Filing Date Granted"
(copy enclosed), mailed August 14, 1998, Applicants submit herewith the required Declaration
properly executed by the inventors.

A check for the surcharge of \$130.00 is attached. Please also charge any underpayment
or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is
attached.

Respectfully submitted,

Peter A. McKenna

Peter A. McKenna
Registration No. 38,551

SUGHRUE, MION, ZINN,
MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860
Date: January 13, 1999



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/116,589 | 07/16/98 | NISHIKAWA | S |

0262/0814
SUGHRUE MION ZINN MACPEAK & SEAS
2100 PENNSYLVANIA AVENUE
WASHINGTON DC 20037-3222

NOT ASSIGNED

2872

DATE MAILED: 08/14/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☒ large entity ☐ small entity (verified statement filed), is \$ 130.

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are:
☐ missing.

☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.2115).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

A. Glover
Customer Service Center
Initial Patent Examination Division (703) 308-1202